

07/30/01  
Jc985 U.S. PTO

07-31-01

A

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37  
CFR 1.53(b))

Attorney Docket No. VIXS.0100110 Total Pages 69  
First Inventor or Application Identifier Chan, et al.  
Title METHOD AND SYSTEM FOR ACCESSING DATA  
Express Mail Label No. EL855712373US

Jc985 U.S. PTO  
09/918384  
07/30/01

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages 40  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawings (35 USC 113) Total Sheets 21
4. Oath or Declaration Total Pages 2
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Microfiche Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Applicant claims small entity status.  
See 37 CFR 1.27  
☐ Statement filed in Prior Application,  
Status still proper and desired.
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Request & Certification Under 35 U.S.C.  
122(b)(2)(B)(i)
16. ☐ Other

**16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior Application Information: Examiner

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4B, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 29331


or, ☐ Correspondence Address Below

Simon, Galasso & Frantz, PLC  
P.O. Box 26503

Austin, Texas 78755-0503

Telephone: 512-336-8957

Facsimile: 512-336-9155

Name and Registration Number (Print/Type)	J. Gustav Larson, Reg. No. 39,263		
Signature		Date	7-30-01

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 413.00

Complete if Known

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	501835
Deposit Account Name	VIXS Systems Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710 201 355	Utility filing fee	355.00	
106 320 206 160	Design filing fee		
107 490 207 245	Plant filing fee		
108 710 208 355	Reissue filing fee		
114 150 214 75	Provisional filing fee		

SUBTOTAL (1) (\$) 355.00

### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 22	(-20 =) 2	9.00	18.00
Indep. 3	(- 3 =) 0		
Multiple Dep.			

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18 203 9	Claims in excess of 20	
102 80 202 40	Independent claims in excess of 3	
104 270 204 135	Multiple dependent claim	
109 80 209 40	Reissue independent claims over original patent	
110 18 210 9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 18.00

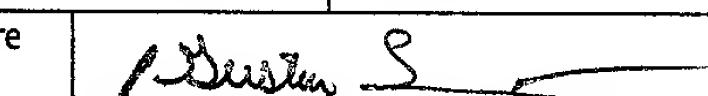
Application Number	
Filing Date	
First Named Inventor	Chan, et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	VIXS.0100110

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath		
127 50 227 25	Surcharge - late provisional filing fee or cover sheet.		
139 130 139 130	Non-English specification		
147 2,520 147 2,520	For filing a request for reexamination		
112 920* 112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action		
115 110 215 55	Extension for reply within first month		
116 390 216 195	Extension for reply within second month		
117 890 217 445	Extension for reply within third month		
118 1,390 218 645	Extension for reply within fourth month		
128 1,890 228 945	Extension for reply within fifth month		
119 310 219 155	Notice of Appeal		
120 310 220 1550	Filing a brief in support of an appeal		
121 270 221 135	Request for oral hearing		
138 1,510 138 1,510	Petition to institute a public use proceeding		
140 110 240 55	Petition to revive - unavoidable		
141 1,240 241 620	Petition to revive - unintentional		
142 1,240 242 620	Utility issue fee (or reissue)		
143 440 243 220	Design issue fee		
144 600 244 300	Plant issue fee		
122 130 122 130	Petitions to the Commissioner		
123 50 123 50	Petitions related to provisional applications		
126 240 126 240	Submission of Information Disclosure Stmt		
581 40 581 40	Recording each patent assignment per property (times number of properties)	40.00	
146 710 246 355	Filing a submission after final rejection (37 CFR 1.129(a))		
149 710 249 355	For each additional invention to be examined (37 CFR 1.129(b))		
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00

SUBMITTED BY: Simon, Galasso & Frantz, PLC.		Complete (if applicable)	
Typed or Printed Name		J. Gustav Larson, Reg. No. 39,263	
Signature		Date	2/3/01
Deposit Account User ID			

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chan, et al.

Examiner:

Serial No:

Art Group:

Filing Date:

Docket No: VIXS.0100110

Title: **METHOD AND SYSTEM FOR ACCESSING DATA**

To the Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL855712373US

Name of Depositor: **Terri Alloway**  
(print or type)

Date of Deposit: 7-30-01

Signature: Terri Alloway


I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

- Items accompanying this Certificate of Express Mailing:
- ☒ Filing papers for a new patent application, such filing papers include:
- ☒ Transmittal cover letter;
  - ☒ Fee Transmittal;
  - ☐ Statement Claiming Small Entity
  - ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
  - ☒ Assignment agreement with Recordation Form Cover Sheet;
  - ☒ A specification and drawings for a new patent application;
  - ☒ Combined Declaration and Power of Attorney;
  - ☐ Information Disclosure Statement
  - ☒ Return upon receipt post card.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Chan, et al.
	Title	METHOD AND SYSTEM FOR ACCESSING DATA
	Atty Docket Number	VIXS.0100110

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. However, the Applicant retains the option to rescind this request at any time. I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

July 30, 2001  
Date

  
Signature

J. Gustav Larson, Reg. No. 39,263  
Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published at eighteen months from the earliest claiming filing date for which a benefit is claimed.

If Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the Applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii).**